

FORM PTO-1390  
(REV 1-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
0837RF-H544-USTRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/511658

INTERNATIONAL APPLICATION NO.  
PCT/US03/12423INTERNATIONAL FILING DATE  
04/18/2003PRIORITY DATE CLAIMED  
04/19/2002

TITLE OF INVENTION Composite Drive Shaft with Captured End Adapters

APPLICANT(S) FOR DO/EO/US Sherman Lin, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☒ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13<sup>ter</sup>.2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return postcard for date stamping and return to us.

U.S. APPLICATION NO. <b>10/511658</b> INTERNATIONAL APPLICATION NO. <b>PCT/US03/12423</b>		ATTORNEY'S DOCKET NUMBER <b>0837RF-H544-US</b>	
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO ..... <b>\$770.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>          <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: right;"><b>\$ 790.00</b></td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: right;"><b>\$ 0.00</b></td> <td></td> </tr> </table>		<b>\$ 790.00</b>		<b>\$ 0.00</b>	
<b>\$ 790.00</b>									
<b>\$ 0.00</b>									
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00					
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	<b>\$</b>					
Total claims	22 - 20 =	2	X <b>\$18.00</b>	<b>\$ 36.00</b>					
Independent claims	3 - 3 =	0	X <b>\$86.00</b>	<b>\$ 0.00</b>					
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$290.00</b>	<b>\$ 0.00</b>					
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 826.00</b>					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<b>\$ 0.00</b>					
<b>SUBTOTAL =</b>				<b>\$ 0.00</b>					
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$ 0.00</b>					
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 0.00</b>					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				<b>\$ 0.00</b>					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 826.00</b>					
				<b>Amount to be:</b>	<b>\$</b>				
				<b>refunded</b>					
				<b>charged</b>	<b>\$</b>				

  

a. ☒ A check in the amount of \$826.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 502806. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

CUSTOMER NO. 38441

*James E. Walton 10/18/04*

SIGNATURE

**James E. Walton**

NAME

**47,245**

REGISTRATION NUMBER

10/511658

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 0837RF-H544-US

In Re Application of:

SHERMAN S. LIN, ET AL.

Serial No: TO BE ASSIGNED

Filed: HEREWITH

For: COMPOSITE DRIVE SHAFT WITH  
CAPTURED END ADAPTERS

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Examiner:

Art Unit:

TRANSMITTAL

**MAIL STOP: PCT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Please file the following enclosed documents in the subject National-Stage application:

1. This Transmittal with Certificate of Express Mail;
2. Form PTO-1390;
3. Declaration;
4. Preliminary Amendment, including replacement paragraphs for amendments to the Specification, a Replacement Abstract, and two new drawing sheets;

**CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10**

**EXPRESS MAIL NO. EV 484030053 US**

I hereby certify that this paper, or fee is being deposited with the United States Postal Service as Express Mail Post Office to Addressee service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to Mail Stop: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of Deposit: 10/18/04  
By: Janet E. Watts

5. Our check in the amount of \$826.00 to cover the Filing Fee; and
6. Our return postcard which we would appreciate you date stamping and returning to us.

Enclosed is a check in the amount of \$826.00 to cover the Filing Fee. No other fees are deemed to be necessary; however, the undersigned hereby authorizes the Commissioner to charge any additional fees, or credit any overpayments, to Deposit Account No. 502806.

**Please link this application to Customer No. 38441, so that it may be accessed via the PAIR System.**

Respectfully submitted,

10/18/04  
Date

James E. Walton  
James E. Walton  
Reg. No. 47,245  
Michael B. Alford  
Reg. No. 48,707  
Law Offices of James E. Walton, P.L.L.C.  
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Burleson, Texas 76028  
(817) 447-9955 (Voice)  
(817) 447-9954 (Facsimile)  
jim@waltonpllc.com

**CUSTOMER NO. 38441**

**ATTORNEYS FOR APPLICANTS**